

Instructions: Complete all numbered steps on this form to combine balances from a previous employer's plan or Individual Retirement Account (IRA) with the current plan. NOTE: Be sure to obtain all the signatures required on the form. The rollover cannot be processed without the appropriate signatures.

1 Personal Information *(please print with black ink)*

Name (Last) _____ (First) _____ (MI) _____ Date of Birth _____ / _____ / _____

Email Address _____ Social Security Number _____ - _____ - _____

Date of Hire _____ / _____ / _____

Single Male
 Married Female

NOTE: The email address you submit will be used only by the Principal Financial Group®. We will not provide your email to third parties. For more information, see our privacy policy at principal.com.

2 Confirm Rollover

I would like to roll over funds* from a previous employer's plan or individual retirement account (IRA).

IMPORTANT: This form is designed to be a quick way to direct the investment of eligible rollover funds. If I do not have an investment election on file or wish to make an alternative allocation, I will select investment options by logging in to principal.com or calling 1-800-547-7754.

If I don't make a different investment election prior to my rollover funds being received by The Principal®, my funds will be invested based on my current investment election. If I do not have a current investment election on file, my funds will be directed to the plan's investment default option.

By rolling over funds to this account, I agree that I have received and reviewed information about the plan's investment options so that I may make an informed investment decision. Information about the plan's investment options is available in the Investment Option Summary included in the enrollment booklet and online at principal.com.

My Signature _____ Date _____ / _____ / _____

*You may roll over a distribution from a traditional IRA to a plan qualified under the Internal Revenue Code §401(a) or (b), an annuity, or a Governmental 457(b) plan to the extent that the distribution would be taxable if not rolled over. After-tax contributions in an IRA (including non-deductible contributions to a traditional IRA) may not be rolled over to one of these plans. Amounts rolled into a Governmental 457(b) plan other than another Governmental 457(b) plan are subject to an early withdrawal tax.

You may roll over a Roth account only into a plan that allows Roth contributions. Please check your Summary Plan Description or plan document before rolling over Roth accounts to make sure Roth contributions are available.

3 Tell us about your rollover funds

If all of your rollover funds were pre-tax contributions, skip to step 4. If any of your rollover funds were after-tax contributions, complete the following:

Amount of after-tax, non-Roth tax contributions* \$ _____

Amount of after-tax, Roth contributions* \$ _____

Amount of earnings on after-tax, Roth contributions \$ _____

Year first after-tax, Roth contribution was made _____

*Report the amount of contributions, not including any earnings/losses. You may need to contact your prior employer for this information.

4 Plan Sponsor Signature

The plan sponsor is typically your employer. **This signature is required to process the rollover.**

Based on the information above, this rollover contribution is acceptable according to the plan provisions. Principal Life Insurance Company is directed to accept this rollover contribution, and keep the appropriate records and accounts.

Plan Sponsor or Trustee Name

Plan Sponsor or Trustee Signature

Date

X _____

____/____/____

5 Request funds from other financial institution

Contact the financial institution that currently holds your retirement funds to request a rollover. Instruct them to complete the rollover check as follows:

Checks must be made payable to:

Principal Trust Company
FBO: <Your Name>
Contract/Plan ID Number 7-05098

Checks must be mailed to:

The Principal Financial Group
P.O. Box 9394
Des Moines, IA 50306-9394

Wire transfer instructions:

ABA Number: 121000248
Account Number: 0837354943
FBO: <Your Name>
Contract/Plan ID Number 7-05098

If you have questions or would like assistance in contacting the other financial institution, call us at 1-800-547-7754.

6 Send completed form and rollover funds

NOTE: If we do not receive this form within five business days of receiving the rollover funds, the rollover funds will be returned.

Check enclosed

Prior financial institution will mail check/wire funds

Mailing address for completed form and check:

The Principal Financial Group
P.O. Box 9394
Des Moines, IA 50306-9394

Fax to:

1-866-704-3481

FOR RESIDENTS OF FLORIDA: Any person who knowingly and with intent to injure, defraud, or deceive any insurer files a statement of claim or an application containing any false, incomplete, or misleading information is guilty of a felony of the third degree.

Investment options are subject to investment risk. Shares or unit values will fluctuate, and investments, when redeemed, may be worth more or less than their original cost.

If funds are rolled into the plan prior to the participant attaining eligibility, this form is only valid if the participant receives the plan's investment option summary and 404 notice prior to executing.

This workbook content is current as of the production date noted below. If there are any discrepancies between this information and the legal plan document, the legal plan document will govern. If the production date is older than three months, you should contact your plan sponsor or log in to principal.com for current retirement plan and investment option information. The member companies of the Principal Financial Group® prohibit the manipulation of this workbook content. If your plan sponsor elects to provide this workbook electronically, The Principal® is not responsible for any unauthorized changes.

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